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DANIEL E. SCHMIDT, IV, ESQ.

DISPUTE RESOLUTION EXPERIENCE

Summary (1975 – Present)

Mr. Schmidt has been involved in dispute resolution in the property, casualty, life, accident, and health domestic and international insurance and reinsurance industry for 41 years and has served as corporate counsel or as arbitrator or umpire in over 415 arbitrations since 1982; he has also served as mediator, consulting expert, and as expert witness

1975 – 2000: Corporate counsel for domestic and international insurance and reinsurance groups in over 25 litigation and arbitration cases with amounts at issue ranging from \$5 million to well over \$300 million

1983 – Present: Speaker, lecturer and panelist at domestic and international dispute resolution seminars

1987 – Present: Appointed arbitrator or umpire in approximately 410 arbitration cases, primarily involving US domestic and international insurance and reinsurance matters with amounts at issue ranging from \$1 million to well over \$1 billion, with the typical amount since 2000 being well in excess of \$10 million and many involving nine figures

1992 – Present: Founding Director, President (1999 - 2002), and Chairman (2002 - 2003) of the Board of Directors of ARIAS US, an arbitration society (arias-us.org); past service on various board committees, including Ethics and Strategic Planning

1994 – Present: Panel of Commercial Arbitrators, Roster of Reinsurance Umpires, and Panel of International Arbitrators, American Arbitration Society (AAA)

1996 – Present: Certified Arbitrator, and Certified Umpire, ARIAS US

2009 -- Present: Panel of Arbitrators, ARIAS U.K.

CORPORATE EXPERIENCE

Summary (1975 – 2000)

Mr. Schmidt served in a senior executive capacity, responsible for legal, regulatory, dispute resolution, claims, corporate secretarial, human resources, shareholder, SEC, and compliance matters in groups with insurance, reinsurance, agency, asset management, IT consulting, and holding companies domesticated in North America, Europe and Asia

Sorema/Groupama (1989 – 2000)

Director (Executive Committee), Executive Vice President & Chief Legal Officer/Sorema NA Group (including Sorema North America Reinsurance Co., Simcoe Bay Reinsurance Co./Bermuda, C&C Consultants, and East-West Holding Group); promoted from Senior to Executive Vice President in 1996 and from General Counsel to Chief Legal Officer in 1999

Vice Chairman (Executive Committee)/East-West Holding [Insurance] Group (including Fulcrum Insurance Co., Rampart Insurance Co., Western Continental Insurance Co., and American Underwriting Managers, Inc.)

Deputy General Manager (Executive Vice President), General Counsel & Corporate Secretary/Sorema International Group (including Sorema International/Netherlands, Sorema UK, Sorema NA, and Sorema SA/France); from 1993-96

US Counsel/Groupama (France); from 1996-2000

Scor (1984 – 1989)

Director (Executive Committee), Vice President (1984)/Senior Vice President (1986), General Counsel & Corporate Secretary/Scor US Group (including Scor US/NYSE: SUR), Scor Reinsurance Co., Scor Re Life Insurance Co., and Southwest International Reinsurance Co., a joint

venture with Dai-Tokyo/Japan)

US Counsel/Scor SA (France)

Prudential (1975 – 1984)

Vice President and Associate General Counsel/Prudential Reinsurance Co. (1982 – 1984)

Assistant Counsel (1975)/Assistant General Counsel (1976)/Associate General Counsel & Division Head (1979)/Prudential Property and Casualty Insurance Co. (1975 – 1982); Joint Prudential/PRUPAC committee regarding life and P&C agents.

OTHER BOARD EXPERIENCE

AIDA Reinsurance & Insurance Arbitration Society (ARIAS US/1992 – 2003)

Brokers & Reinsurance Market Association (BRMA/1997 – 2000)

American Red Cross of Monmouth County/Executive Committee (1981 - 1984)

Underwriters Golf Association (1999 – 2004)

Marine Corps Scholarship Foundation/New Jersey Chapter (2007 –Present)

PROFESSIONAL ACTIVITIES

Judge, Ecclesiastical Trial Court (New Jersey); Associate Presiding Judge (1998-99 Term); Presiding Judge (1999-2000 Term – due to illness of PJ)

Contributor, *Reinsurance Contract Wording*, ed., Robert W. Strain (1992)

Associate Editor, Editorial Board, *Arias US Quarterly*

PROFESSIONAL MEMBERSHIPS/LISTINGS

Who's Who in America

American Bar Association (Section on Dispute Resolution)

Who's Who in American Law

American Arbitration Association

ARIAS US

Association Internationale de Droit des Assurances (AIDA)

ARIAS UK

EDUCATION

Juris Doctor (1975): St. John's University School of Law
Jamaica, NY

P Postgraduate (1972): Graduate Faculty, New School University
New York, NY

B Bachelor of Arts (1971): St. Lawrence University
Canton, NY

I Substantial knowledge has been developed in a broad range of subjects in connection with over 415 arbitration cases, 25 years of company, and 41 years of industry responsibilities, attendance at scores of NAIC, trade association, Mealey's, AAA, ARIAS US, ARIAS UK, law firm, company, ABA and other bar association meetings and seminars in the United States and Europe regarding virtually every aspect of the P & C and life, annuity & health domestic and international insurance and reinsurance industry, including: accounting, actuarial, agency, aggregates, allocation, alternate risk, annuities, asbestos, auditing, Bermuda (excess liability) Form, brokers, captives, claims, claims handling protocols, claims made policies, commutation, contract wording, corporate governance, credit default, D&O, dispute resolution, E&O, environmental/pollution, excess & surplus lines, facultative, financial products, finite risk, follow the fortunes/settlements/actions concepts, insolvency, intermediary, legal, life settlements, London Market/Lloyd's, LTD, MGA/MGU, medical

malpractice, mergers and acquisitions, mortgage guaranty, occurrence policies, pollution, pools, program business, regulatory, rescission, RICO, SEC, surety, subprime mortgages, title, treaty, third party administrators, underwriting, utmost good faith, and worker's compensation (including "carve out").

PERSONAL

Dual Citizenship -- US and European Union (Ireland)

Senior (Interrogation) Advisor, II Corps Interrogation Center, US Army Intelligence Advisory Team 21, Pleiku, Vietnam (April 1968 – April 1970); received various awards, including U.S. Bronze Star and Vietnamese Honor Medal

Member, Beacon Hill Country Club (NJ)

Member, Desert Mountain Club (AZ)

Member, Sands Beach Club (NJ)

Married, with two adult children

Assistant Scoutmaster (former), Troop 126, Little Silver

Lay Minister/(former) Senior Warden, St. John's Episcopal, Little Silver

Former NYC taxicab driver, bartender and New Orleans tugboat deckhand